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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box PATENT APPLICATION  
TO THE ASSISTANT COMMISSIONER FOR PATENTS  
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Transmitted herewith for filing is the patent application of:

Inventor(s): Davis et al.

For: PERIPHERAL DEVICE FOR A COMPUTER SYSTEM

Enclosed are:

- ☒ 4 pages of specification, 2 pages of claims, an abstract and a Combined Declaration and Power of Attorney.

For	Claims filed	FILING FEE			Rate	Basic Fee
		Number Allotted		Number Extra		
Total Claims	11	20	=	0	\$18.00	0
Independent Claims	2	3	=	0	\$80.00	0
TOTAL FILING FEE			=			\$710.00

- ☒ Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

DIGIMARC CORPORATION

Date: October 4, 2000

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**PERIPHERAL DEVICE FOR A COMPUTER SYSTEM**Related Application Data

5 This application claims priority from provisional application 60/158,015, filed October 6, 1999.

Description

10 The present disclosure memorializes certain improvements to the subject matter detailed in pending application 09/343,104 (June 29, 1999), and 09/292,569 (April 15, 1999), the disclosures of which are incorporated by reference.

15 The cited '104 application details a variety of systems in which objects interact with computer devices. The objects can be physical objects, marked with machine-readable indicia, such as digital watermarks. Optical input devices, such as webcams, are used to capture image data from the object, so that the computer device can recognize the object and respond accordingly.

20 In the '104 application, the disclosed technology was referred to by the name "Bedoop." The present assignee now markets such technology under the Digimarc MediaBridge name. The former term is used in this disclosure.

25 One form of optical input device usable in such systems is a mouse-like peripheral that includes an optical sensing system. The optical sensing system can comprise a 1D array of plural optical sensors (e.g., CCD, CMOS, etc.), or a 2D array. Such devices are already known in other contexts, e.g., the Microsoft IntelliMouse with IntelliEye technology. That device includes a multi-element CMOS optical sensor integrated on an IC with various detector and processing circuitry, operating in conjunction with a short focal length imaging lens and an LED illumination source. The circuitry tracks movement of patterns across the sensor's field of view, by which the mouse's movement can be

deduced. The Microsoft product collects 1500 data sets per second – a frame rate much higher than is needed in most embodiments of the assignee's Bedoop technology.

Such a mouse-like peripheral can omit the buttons and position-sensing features commonly provided on traditional mice, yielding a simple desk-facing palm camera that generates frames of data corresponding to a small area under the sensor portion of the mouse. More typically, however, the peripheral includes the buttons, roller wheels, and/or X-/Y- position sensing arrangements of traditional mice so that button and positional forms of data input can be exploited in interacting with the Bedoop application.

The optical data collected by the sensor can be processed within the peripheral's processor to extract the steganographically encoded binary Bedoop data therefrom. Or this processing burden can be undertaken by the associated computer system, with the peripheral simply processing and formatting the raw sensor data into sequential frames of image data to be output to that system.

Any form of hand-held scanner - whether of the type just described or others known in the art - offers a convenient way to interact with catalog advertising. Imagine a traditional paper catalog, e.g., from L.L. Bean, Inc., or Lands End. Each image in the catalog is Bedoop-encoded with a code that identifies the depicted product. A user browsing through the catalog, on seeing a product of interest, places the scanner over the picture (and optionally may be required to push a button or otherwise signal to initiate further processing). The scanner detects the Bedoop data and relays it to an associated computer (optionally with data identifying the consumer). The computer polls a remote server computer maintained by the merchant, which responds with data corresponding to the item depicted in the scanned image. This returned data can include data indicating the sizes available, data indicating the colors available, data indicating the variant styles available, flag bits indicating whether each item is in stock, etc. This returned data can be presented to the consumer – typically on a display device but alternatively in audible form.

Preferably, the customer's body measurements (waist size, inseam length, neck size, etc.) are stored in a user profile, either on the local computer or at the merchant's server computer. This allows the system to customize the data presented to the user – e.g.,  
5 showing the color options and availability only for the depicted shirt in a 16 inch neck and a 34 inch sleeve length.

If necessary, the user can select among the color or style options, using the handheld input device (either buttons, gestures, etc.), or any other input device. Or the item may be  
10 one for which no further specifications are needed. In either event, once the desired product has been sufficiently specified, the user can signal the system to place the order. Payment and shipping details can be arranged through any of the great variety of techniques known in the art, e.g., by charging to a credit card number and shipping to an address on-file with the merchant.

15 While scanning peripherals of the type described above are typically wired to an associated host system, wireless links (e.g., radio, infrared, ultrasonic, etc.) can of course be used, freeing the user from the constraint imposed by the cable.

20 Another use of the technology detailed in the '104 application (and other applications and patents of the present assignee, including patent 5,841,886 – incorporated herein by reference) is to control building access (or facility access, or room access, etc.) through a combination of an ID card, Bedoop technology, and proximity detection technology.

25 The ID card can be a badge or the like having a steganographically-encoded photograph of the bearer. The card further includes a proximity ID device, such as an unpowered electronic circuit that is excited and detected by a radiant field from an associated proximity detector, providing a unique signature signal identifying a particular individual.

The building can be provided with an image sensor (such as a video camera or the like), an associated Bedoop detection system, and the proximity detector. When a user wearing the badge approaches, the proximity detector signals the camera to capture image data. The Bedoop detection system identifies the badge photograph (e.g., by clues as are  
5 described in the prior applications, or without such aids), captures optical data, and decodes same to extract the steganographically-embedded data hidden therein. The access control system then checks whether the badge ID discerned from the proximity sensor properly corresponds to the Bedoop data extracted from the photograph on the badge. If so, access is granted; if not, the data is logged and an alarm is sounded.

10 By such arrangement, premises security is increased. No longer can proximity-based access badges be altered to substitute the picture of a different individual. If the photo is swapped, the proximity system ID and the embedded photo data will not match, flagging an unauthorized attempted access.

15 The same principles are applicable in many other contexts – not limited to RF-based proximity detection systems. For example, the data decoded from the photograph can be compared against other forms of machine-sensed personal identification associated with the badge. These include, but are not limited to, bar code IDs, mag-stripe ID cards, smart  
20 cards, etc. Or the comparison can be with an identification metric not associated with the badge (e.g., retinal scan, voice print, or other biometric data).

25 Having described and illustrated the principles of our inventions with reference to specific embodiments, it will be recognized that the principles thereof can be implemented in many other, different, forms. Moreover, the particular combinations of elements and features in the above-detailed embodiments are exemplary only; the interchanging and substituting of these teachings with teachings in the incorporated-by-reference applications and patent are also contemplated.

**WE CLAIM**

1. A peripheral device for use with a computer system comprising:  
a housing adapted to fit within a user's palm and slide over a medium;  
an optical sensor having plural sensing elements and producing image signals;  
5 a lens for imaging the medium onto the sensor;  
circuitry coupled to the sensor and disposed within the housing for processing the  
signals from the sensor and producing corresponding output data; and  
transfer means for relaying the output data from the peripheral device to the  
computer system;

10 wherein said sensor is useful in acquiring optically-encoded multi-bit information  
from said medium for use by said computer system.

2. The device of claim 1 in which the transfer means is a cable.

15 3. The device of claim 1 in which the transfer means is a wireless link.

4. The device of claim 1 in which the circuitry analyzes the image signals and  
produces multi-bit information corresponding thereto.

20 5. The device of claim 1 in which the circuitry comprises a decoder for  
discerning steganographically-encoded information represented in said image signals.

6. The device of claim 1 in which the optical sensor comprises a 1D array of  
sensor elements.

25 7. The device of claim 1 in which the optical sensor comprises a 2D array of  
sensor elements.

8. The device of claim 1 in which said circuitry is integrated on a common  
30 substrate with said sensing elements.

9. The method of claim 1 in which the optically encoded information comprises a plural-bit identifier.

5           10. A method of interacting with printed material using a peripheral device, the peripheral device providing positional data to an associated computer and including an optical sensing system comprising plural optical sensing elements, the method comprising:

          positioning the device over the printed material;

10           generating optical sensor data from said optical sensing system, said data corresponding to a machine-readable indicia formed on the printed material;

          processing said optical sensor data to produce plural-bit data corresponding to said machine-readable indicia; and

          providing said plural-bit data to said computer.

15           11. The method of claim 10 which includes performing a steganographic decoding process on the optical sensor data to obtain said plural-bit data.

**PERIPHERAL DEVICE FOR A COMPUTER SYSTEM**Abstract of the Disclosure

5 A mouse-like device can be equipped with optical sensors (e.g., 1D or 2D CCDs),  
permitting it to acquire image data for processing by a computer system. One application  
of such a device is in reading machine-readable indicia printed in catalogs and the like.

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## COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled PERIPHERAL DEVICE FOR A COMPUTER SYSTEM, the specification of which

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as Application No. \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56. If this is a continuation-in-part application filed under the conditions specified in 35 U.S.C. § 120 which discloses and claims subject matter in addition to that disclosed in the prior copending application, I further acknowledge the duty to disclose material information as defined in 37 CFR § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT International application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT International application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

60/158,015	10/6/99
_____	_____
Application Number	Filing Date

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or § 365(c) of any PCT International application(s) designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT International filing date of this application:

_____	_____	_____
(Application No.)	(Filing Date)	(Status: patented, Pending, abandoned)

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The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from \_\_\_\_\_ as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application, to file a corresponding international application, and to transact all business in the Patent and Trademark Office connected therewith:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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